### PATENT COOPERATION TREATY

# **PCT**

#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference UCSD1620WO   | FOR FURTHER  | see Form PCT/ISA/220 as well as, where applicable, item 5 below. |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| International application No.  | ACTION International filing date (day/n                |  | (Earliest) Priority Date (day/month/year)  |  |  |  |  |
| PCT/US04/22718   | 28 June 2004 (28.06.2004)                              |  | 01 July 2003 (01.07.2003)  |  |  |  |  |
| Applicant THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  |  |  |  |  |  |  |  |
| This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.   |  |  |  |  |  |  |  |
|  |  | Durcau.  |  |  |  |  |  |
| This international search report consists of   | of a total of sheets.                                  |  |  |  |  |  |  |
| It is also accompanied   | by a copy of each prior art doc                        | ument cited i  | n this report.   |  |  |  |  |
| <ol> <li>Basis of the Report</li> <li>a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.</li> </ol> |  |  |  |  |  |  |  |
| <u> </u>   | l search was carried out on the barity (Rule 23.1(b)). | asis of a trans  | lation of the international application  |  |  |  |  |
| b. With regard to any nucleoti   | de and/or amino acid sequence                          | e disclosed in   | the international application, see Box No. I.  |  |  |  |  |
| 2. Certain claims were found   | l unsearchable (See Box No. II)                        |  |  |  |  |  |  |
| 3. Unity of invention is lacking   | ng (See Box No. III)                                   |  |  |  |  |  |  |
| 4. With regard to the title,   |  |  |  |  |  |  |  |
| the text is approved as subn   | • • •  |  |  |  |  |  |  |
| the text has been established  | d by this Authority to read as fol                     | lows:  | ·  |  |  |  |  |
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| 5. With regard to the abstract,  |  |  |  |  |  |  |  |
| the text is approved as subm   | nitted by the applicant.                               |  |  |  |  |  |  |
|  |  | •  | as it appears in Box No. IV. The applicant ch report, submit comments to this Authority. |  |  |  |  |
| 6. With regard to the drawings,  |  |  |  |  |  |  |  |
| a. the figure of the drawings to be  | published with the abstract is Fi                      | gure No  | <del>_</del>   |  |  |  |  |
| as suggested by the  | as suggested by the applicant.                         |  |  |  |  |  |  |
| as selected by this  | Authority, because the applicant                       | failed to sugg   | gest a figure.   |  |  |  |  |
| as selected by this A  | Authority, because this figure be                      | tter characteri  | izes the invention.  |  |  |  |  |
| h   X   none of the figures is to he r   | nublished with the abstract                            |  |  |  |  |  |  |

Form PCT/ISA/210 (first sheet) (January 2004)

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/22718

| Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first shee  |      |  |  |  |
|---|------|--|--|--|
| This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:  |      |  |  |  |
| 1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  |      |  |  |  |
| Claims Nos.:  because they relate to parts of the international application that do not comply with the prescribed requirements to so an extent that no meaningful international search can be carried out, specifically:   | ıch  |  |  |  |
| Claims Nos.:  because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4   | (a). |  |  |  |
| Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)  |      |  |  |  |
| This International Searching Authority found multiple inventions in this international application, as follows: Please See Continuation Sheet   |      |  |  |  |
| 1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.  2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.: | rt   |  |  |  |
| 4. No required additional search fees were timely paid by the applicant. Consequently, this international search report restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-14 and 24-29  Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.  | t is |  |  |  |

Form PCT/ISA/210 (continuation of first sheet(2)) (January 2004)

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/22718

|                         | SIFICATION OF SUBJECT MATTER   |   |                                   |  |
|-------------------------|--|---|-----------------------------------|--|
| IPC(7)<br>US CL         | : A01N 63/00; A61K 48/00<br>: 424/93.2   |   |                                   |  |
| According to            | International Patent Classification (IPC) or to both na  OS SEARCHED   | tional classification and IPC   |                                   |  |
| -                       | cumentation searched (classification system followed   | by classification symbols)  |                                   |  |
| U.S. : 42               |  |   |                                   |  |
| Documentation           | on searched other than minimum documentation to the  | extent that such documents are included   | in the fields searched            |  |
|                         |  | •   |                                   |  |
|                         | ta base consulted during the international search (namontinuation Sheet  | ne of data base and, where practicable, sea   | rch terms used)                   |  |
| C. DOCI                 | JMENTS CONSIDERED TO BE RELEVANT   |   |                                   |  |
| Category *              | Citation of document, with indication, where a   | ppropriate, of the relevant passages  | Relevant to claim No.             |  |
| X                       | FISCHER et al. Overexpression of Sorcin in the Mu  |   |                                   |  |
| x                       | Performance, Circulation; October 2000, Vol 102, No. 18, Supp. page 296, 1448. SUAREZ et al. In vivo Adenoviral Gene Transfer of Sorcin Increases Cardiac Contractility  |   | 1-7, 12-14                        |  |
| X, P                    | Circulation November 2002 Vol 106, No. 19, Supp. page. 29, 144.  SUAREZ et al. In vivo adenoviral transfer of sorcin reverses cardiac contractile abnormalities of diabetic cardiomyopathy Am. J. Physiol. Heart Circ. Physiol. 2004 Vol |   | 1-14, 24-29                       |  |
|                         | 286, pages H68-H75.  |   |                                   |  |
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|                         |  |   |                                   |  |
| Further                 | documents are listed in the continuation of Box C.   | See patent family annex.  |                                   |  |
| * S                     | pecial categories of cited documents:  | "T" later document published after the international filing date or priority d and not in conflict with the application but cited to understand the |                                   |  |
| "A" document particular | defining the general state of the art which is not considered to be of relevance   | principle or theory underlying the inver  |                                   |  |
| "B" earlier app         | plication or patent published on or after the international filing date  | "X" document of particular relevance; the c considered novel or cannot be consider when the document is taken alone                                 |                                   |  |
|                         | which may throw doubts on priority claim(s) or which is cited to<br>the publication date of another citation or other special reason (as   | "Y" document of particular relevance; the considered to involve an inventive step   | when the document is combined     |  |
| "O" document            | referring to an oral disclosure, use, exhibition or other means  | with one or more other such documents<br>to a person skilled in the art   | s, such combination being obvious |  |
|                         | published prior to the international filing date but later than the ite claimed  | "&" document member of the same patent f  | amily                             |  |
|                         | ctual completion of the international search   | Date of mailing of the international sear   | rch report                        |  |
| •                       | (26.05.2005) ailing address of the ISA/US  | Authorized offices  | 2                                 |  |
| Mai                     | 1 Stop PCT, Attn: ISA/US   | Brian Whiteman Janut  | e Ford                            |  |
| P.O                     | nmissioner for Patents<br>. Box 1450   | Talanka a Na (571) 272 1622   |                                   |  |
|                         | kandria, Virginia 22313-1450<br>o. (703) 305-3230  | Telephone No. (571) 272-1600  | 1207                              |  |
|                         | /210 (second sheet) (January 2004)   | <u>l</u>  |                                   |  |

|  | International application No.                                  |
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| INTERNATIONAL SEARCH REPORT  | PCT/US04/22718   |
| INTERNATIONAL DELECTION TO THE   |  |
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| BOX III. OBSERVATIONS WHERE UNITY OF INVENTION IS LA   | ACKING   |
| This application contains the following inventions or groups of inventions wh concept under PCT Rule 13.1. In order for all inventions to be searched, the | nich are not so linked as to form a single general inventive   |
|  | e in a subject comprising administering a viral vector "       |
| Group I, claim(s) 1-14 and 24-29, drawn to a method of increasing contractile encoding sorcin in the subject.  | o in a gaojeor comprisme agnumerous a com contra               |
| encoding sorcin in the subject.  | •  |
| Group II, claim(s) 15-20, drawn to a method of identifying an agent that mod   | ulates sorcin expression in a sample.                          |
| Group III, claim(s) 21, drawn to a method of identifying an agent that module  |  |
|  |  |
| Group IV, claim(s) 22 and 23, drawn to a method of diagnosing a cardiac cor  | ntractile function associated disorder in a subject.           |
| The inventions listed as Groups I-IV do not relate to a single general invention   | ve concept under PCT Rule 13.1 because, under PCT Rule         |
| 12.2 they lack the same or corresponding special technical features for the fo   | ollowing reasons:  |
| The special technical feature of Group I is considered to be a method of incre   | easing cardiac contractile function in a subject using a viral |
| vector encoding sorcin   |  |
| The special technical feature of Group II is considered to be a method of ider   | mitying an agent that modulates solem expression in a          |
| sample.  The special technical feature of Group III is considered to be a method of ide  | entifying an agent that modulates cardiac contractile function |
| in a heart of a subject  |  |
| The special technical feature of Group IV is considered to be a method of dis  | agnosing a cardiac contractile function associated disorder in |
| a subject.   |  |
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### INTERNATIONAL SEARCH REPORT

International application No. PCT/US04/22718

| Continuation of B. FIELDS SEARCHED Item 3: WEST2.1, STN search terms: sorcin, viral, virus, gene therapy, cardiac, heart, diabetes, contractile |  |  |  |  |  |
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Form PCT/ISA/210 (extra sheet) (January 2004)